

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of  
LUNDBERG et al.

Serial No. 10/616,224

Filed: July 10, 2003



Atty. Ref.: 4010-30

TC/A.U.: 3609

Examiner: Vyas, Abhisek

For: A METHOD AND A SYSTEM FOR TRADING STRIPPED BONDS

\* \* \* \* \*

October 4, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

As suggested by 37 C.F.R. 1.97, the undersigned attorney brings to the attention of the Patent and Trademark Office the references listed on the attached form PTO-1449.

☐ Copies of U.S. Patent Publications are not required and are not attached.

☒ Listed foreign patent publications and other documents are enclosed.

☐ The listed documents were cited in the ISR and copies should have been supplied by WIPO directly to the US PTO. If copies are not timely received from WIPO, please telephone the undersigned so that copies can be timely supplied for the Examiner's consideration in this US National Phase Application.

This is not to be construed as a representation that a search has been made or that no better prior art exists, or that a reference is relevant merely because cited.

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The Examiner is requested to initial the attached form PTO/SB/08a and to return a copy of the initialed document to the undersigned as an indication that the attached references have been considered and made of record.

Pursuant to Rule 37 C.F.R. §1.97(c), a fee of \$180.00 as specified in Rule 17(p) is attached. If there is any shortage in the fee, please charge the deposit account of Nixon & Vanderhye, Account No. 14-1140.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

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